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The ACBF and AUC also extend their appreciation to the team from Capacity and Development Services (CAPDEV), which carried out the field research for the capacity needs assessment.

# LIST OF ABBREVIATIONS AND ACRONYMS

ACBF African Capacity Building Foundation

AfDB African Development Bank AMU Arab Maghreb Union

APRM African Peer Review Mechanism

AU African Union

AUC African Union Commission

CAADP Comprehensive Africa Agriculture Development Programme

CAPDEV Capacity and Development Services

CEMAC Central African Economic and Monetary Community

CEN-SAD Community of Sahel-Saharan States

CEPGL Economic Community of the Great Lakes Countries COMESA Common Market for Eastern and Southern Africa

CSO Civil society organization

DRC Democratic Republic of the Congo

EAC East African Community

ECCAS Economic Community of Central African States
ECOWAS Economic Community of West African States

GS General service

ICT Information and communications technology IGAD Intergovernmental Authority on Development

IOC Indian Ocean Commission
M&E Monitoring and evaluation
MRU Mano River Union

NEPAD New Partnership for Africa's Development NEPAD Agency NEPAD Planning and Coordinating Agency

PAP Pan-African Parliament
PSC Peace and Security Council
REC Regional economic community
SACU Southern African Customs Union

SADC Southern African Development Community
STEM Science, technology, engineering, and mathematics

STI Science, technology, and innovation

UMA Union du Maghreb Arabe

UNDP United Nations Development Programme

UNECA United Nations Economic Commission for Africa

UNESCO United Nations Educational, Scientific, and Cultural Organization

WEAMU West African Economic and Monetary Union

### **OVERVIEW**

At the African Union (AU) Golden Jubilee Summit in June 2012, the heads of state and government assigned the chairperson of the African Union Commission (AUC) with developing Agenda 2063, an indigenous strategic framework for Africa's transformation over the next 50 years anchored on inclusive growth and sustainable development. This was to be done in collaboration with the United Nations Economic Commission for Africa (UNECA), the African Development Bank (AfDB), and the New Partnership for Africa's Development Planning and Coordinating Agency (NEPAD Agency).

The Summit, while acknowledging past successes and challenges, rededicated itself to the continent's development and technological transformation. It pledged its commitment to making progress in eight main areas: democratic governance; an integration agenda; African identity and renaissance; Africa's place in the world; a determination of Africa's destiny; an agenda for peace and security; an agenda for social and economic development; and the struggle against colonialism and the right to self-determination of people still under colonial rule. It further pledged to integrate these ideals and goals in national development plans and the development of Agenda 2063 through a people-driven process for realizing the AU's vision for an integrated, people-centered, prosperous Africa, at peace with itself.

The Agenda 2063 preparation process involved a two-pronged approach: stakeholder consultations (with citizens, AU organs, civil society, the African diaspora, governments of member states, regional

economic communities [RECs], and experts and planners) and rigorous technical work. The consultations with stakeholders brought forth the "seven aspirations," the basis for Agenda 2063's goals and targets. Simultaneously undertaken, the Agenda 2063 technical process involved analysis of the African development experience, including reviewing national plans, preparing draft framework documents, distilling lessons that Agenda 2063 should consider, and establishing baselines and trends of Africa's development trajectory. This process produced three important documents: the Agenda 2063 Technical Document, the Agenda 2063 Popular Version, and the First Ten-Year Implementation Plan 2014-2023.

While, undoubtedly, capacity needs and assessment studies had already been undertaken, the complexity of issues that Agenda 2063 addresses and the multiplicity of actors involved in implementing it required an Agenda 2063 capacity-focused review. The AUC chairperson informed the 26th Ordinary Session of the AU Executive Council that the Commission intended to review the continental, regional, and eventually national capacities required for such implementation, in a capacity needs assessment.

This document captures the results and emerging recommendations from the work carried out so far. Some of these were presented and discussed at the June 2015 African Union Ministerial Retreat and Heads of State Summit in South Africa.

Other work may be found in two documents also prepared for the Commission by the

ACBF: Capacity Development Plan Framework: Buttressing Implementation of the First 10-Year Plan—"The Africa We Want" and African Critical Technical Skills: Key Capacity Dimensions Needed for the First 10 Years of Agenda 2063.

The AUC's highlighting of the importance of capacity dimensions for Agenda 2063 has been one of the missing links in previous development efforts. This time, implementation will be from a proper understanding of the capacity requirements (as encapsulated in this document) and accompanied by a capacity development plan framework (see the *Capacity Development Plan Framework*).

This document provides a conceptual and operational framing of imperatives and capacity issues required for delivering Agenda 2063. The nature of the assignment required a flexible system to be used for consultation, information and data gathering, and analysis. The findings in this report are derived from high-level interviews and discussions with key organizations, initial consultations with other key stakeholders and knowledgeable individuals, and analytical work. Research also included questionnaires and document reviews.

## Key messages from the capacity needs assessment

Africa has diverse capacities at various levels to implement the First Ten-Year Implementation Plan (the first 10-year plan) and Agenda 2063 overall, but for it to be more effective some key capacities need urgent attention:

- Change and transformative abilities (including change readiness and transformative leadership).
- Capacities of institutions and organizations (continental, regional, and national),

involving refocusing and restructuring of work systems and a review of their mandates.

- Investment in massive training and education for critical, technical, and sector-specific skills, including in science, technology, engineering, and mathematics (STEM).
- A revamp of the content of African education at all levels, so that educated Africans (including youth and children) are imbued with African values and visionary optimism, and can find or create substantive employment.
- A move toward a Pan-African "New African" agenda through an African ideological grounding for those in the continent and the diaspora.
- The start of a progressive transfer to youth and women of key responsibilities for leading parts of Agenda 2063 within the first two years of the first 10-year plan.
- The continent's ability to commit and mobilize its own funding for the first 10-year plan and Agenda 2063. This will be the "capacity" litmus test.
- An increase in soft skills, indispensable for each person to make things happen.
- Crucially and urgently, "dimension 1" (Recasting the African mind-set to a concept of African ownership—see chapter 2) would then guide Africa's development paradigm, from African values.

The document has five chapters, after this overview:

Chapter 1 lays out the conceptual framing of capacity to better understand its dynamic

context in Africa. It highlights four key "capacity sets": composite capacities; change and transformative capacities; critical, technical, and sector-specific skills; and four aspects of operational capacity for organizations (human capacity, institutional/organizational capacity, systems and work processes, and information access and knowledge).

These four capacity sets, with the Capacity Development Strategic Framework of the AU/ NEPAD, can be used to frame a proper understanding of the capacity imperatives for Agenda 2063. In addressing foundational and strategic capacity elements that will enhance delivery and drive forward Agenda 2063, the report frames African youth and women as two critical game-changing capacity pillars for achieving the vision. The conceptual framing also identifies six levels for ensuring capacity readiness and development.

Chapter 2 analyzes the key capacities needed for Agenda 2063 by unpacking this new African vision into three strategic dimensions: recasting the African mind-set to a concept of African ownership; identifying initial continental "flagship projects," key member state development goals, and special development activities and initiatives; and scenario building/risk management and anticipating future exigencies

Chapter 3 dissects the first 10-year plan through a capacity lens focusing on goals, priority areas, and identifying potential capacity responsibilities for stakeholder groups, including academia, the private sector, civil society organizations (CSOs), and networks of youth and of women.

Chapter 4 presents some findings in broad issue areas reflecting the capacity imperatives for delivering Agenda 2063. Chapter 5 offers initial recommendations.

1

# CONCEPTUAL CAPACITY FRAMING FOR AGENDA 2063

In framing capacity in the context of Agenda 2063, this chapter presents definitions; offers a new notion of capacity sets; moves youth and women to center stage, as capacity pillars for Agenda 2063; and proposes six levels for ensuring and promoting capacity readiness and development.

#### **Definitions**

The AU/NEPAD Capacity Development Strategic Framework defines capacity as "the ability of individuals, groups, organizations, institutions and societies to sustainably define, articulate, engage and actualize their vision or developmental goals building on their own resources." In developing a conceptual framing for Agenda 2063, this definition is a useful starting point to better understand the multifaceted context of capacity in Africa.

Inadequate capacity is a perennial challenge that constrains programs and projects promoting inclusive economic growth, sustained development, and integration. For Agenda 2063's priority programs to be sustainably implemented, capacity development must be placed at the core of the transformation process.

#### Four capacity sets

The Capacity Team has crafted four capacity sets to frame the capacity needs for Agenda 2063 (figure 1.1). The focus of attention, in

finalizing the review, will be on what it will take to strengthen the skills, knowledge, and expertise required to implement Agenda 2063.

## Capacity set 1: Four aspects of operational capacity for organizations

This capacity set comprises four aspects as they relate to organizations:

- Human capacity. This covers "hard" and "soft" skills for implementing Agenda 2063 at all technical levels. Hard skills refer to qualifications (first degree, master's, PhD, and so on) and experience in years. Soft skills include commitment, accountability, results orientation, proactivity, speed, problem-solving attributes, continuous high energy, teamwork, and capacity to maintain a heavy workload.
- Institutional/organizational capacity. This
  covers governance, mandates/missions,
  institutional funding, and organizational structure for delivering development
  services.
- Systems and work processes. These include effectiveness of operational systems and the nature of work processes critical to how institutions function. They feature core budget allocation; bureaucratic and non-bureaucratic work processes; priorities and preparation in work plans; decision making, decision activation, and interministerial and interdepartmental

Four aspects of operational capacity for organizations

One

Two

Change and transformative capacities

Two

Critical, technical, and sector-specific skills

Figure 1.1 Four capacity sets

Source: ACBF Capacity Team.

coordination; and clarity in managing processes such as finance, procurement, recruitment, delegation, and information technology use.

• *Information access and knowledge.* These relate to the ease of accessing information and acquiring knowledge that enables learning for continuous improvement.

## Capacity set 2: Change and transformative capacities

Aspects under this category include the capacities needed to initiate, facilitate, and manage change. These abilities foster "drivers-of-change" approaches, showing how to "get there" and "make it happen." Such capacities include:

- Transformative leadership (including visioning).
- Change readiness: creating and maintaining the desire for change.

- Ability for mind-set shifts.
- Technological predisposition and information and communications technology (ICT).
- Invention and innovation commitment.
- Risk management abilities.
- Management and top leadership optimism.
- Top management commitment.
- · Shared, inspirational vision.
- Ownership of decision making.

#### **Capacity set 3: Composite capacities**

This set features four capacity areas (planning; facilitation; knowledge and risk management; and program financing, management, and development), requiring operators to link and use knowledge and information in a multidisciplinary, multifaceted fashion, often

requiring combined skills across and beyond qualifications.

## Capacity set 4: Critical, technical, and sector-specific skills

This set embraces hard technical or specialist skills and subject knowledge critical for Africa, and includes skills in health; mining; finance; ICT; energy; engineering; infrastructure; research institutions; water resources and management; and science, technology, and innovation (STI).

## Framing the role of youth and women as key capacity pillars for Agenda 2063

#### Putting youth and women at center stage

Beyond the analytical basis of the capacity sets, the Capacity Team placed at center

stage youth and women as key Agenda 2063 drivers, in which they are two game-changing stakeholders. Academic, economic, and political discourse holds that African youth are a forceful and promising response to the democratic and developmental deficits that have been some of the most problematic aspects of the African integration process. The role that they play in the continent's development has been underrated; they are often portrayed as passive stakeholders who need government help. Yet there is evidence that youth and women are critical economic actors, indispensable to Agenda 2063's success.

#### **Intergenerational mapping**

Work has been initiated on developing broad intergenerational mapping that can provide a framework for engaging the commitment and energy of Africans of different ages, particularly young people, to realize Agenda 2063's vision (figure 1.2).

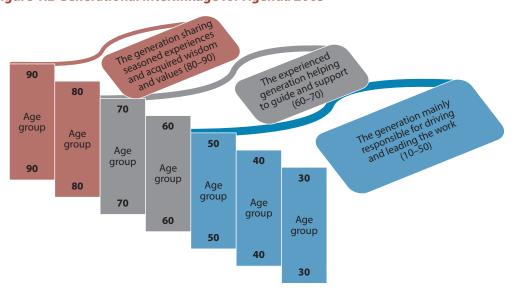


Figure 1.2 Generational interlinkage for Agenda 2063

Source: ACBF Capacity Team.

## African youth and children involvement planning—Pan-African ideologies

Engagement could start very young (figure 1.3), involving a range of Pan-African ideologies for each age group to transform and place Africa at a different level of development, prosperity, and global respect.

Such planning might entail—largely picking up on the younger age groups in figure 1.3—the following:

Ages 5–7: introducing initial African values, heroes, "can-do" attitude, confidence building, and so on, through African cartoons and other entertaining visual series.

- Ages 8–10: introducing Pan-African ideologies and indoctrination in schools.
- Ages 11–13: introducing Pan-African ideologies and African stories, novels, and history; promoting STEM, business, innovation, conservation wisdom, and financial management.
- Ages 14–17: introducing Pan-African ideologies and model AU-type organizations; promoting STEM, business, innovation, conservation wisdom, and financial management.
- Ages 18–21: promoting leadership schools, thought leadership, tertiary courses,

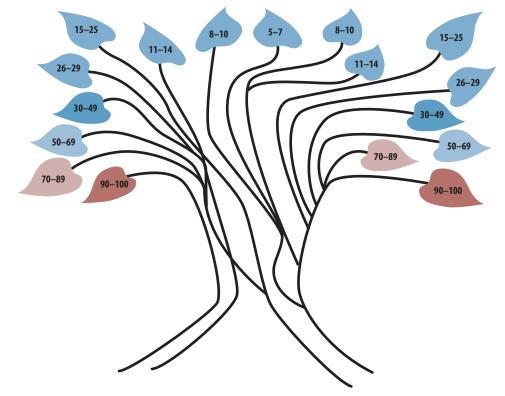


Figure 1.3 An intergenerational mapping tree

Source: ACBF Capacity Team.

Pan-African ideologies, and STEM and innovation.

- Ages 22–25: entering the workforce; training in business, conservation, and financial management; exposing to politics, governance, Pan-African ideologies, and STEM and innovation; promoting doctors, engineers, technicians, electricians, entrepreneurs, other health professionals, and so on.
- Ages 26–29: commercializing inventions; employing youth by youth; promoting STEM, productive inventions, and so on; exposing young executives to politics, governance, and Pan-African ideologies.
- Ages 30–35: promoting STEM, Pan-African ideologies, and so on; generating enhanced governance, entrepreneurial executives, and transformative leaders.

## Six levels for ensuring and promoting capacity readiness and development

Drawing on the conceptual framing of the four capacity sets (above) and the three

strategic dimensions (next chapter), the Capacity Team identified six activity levels:

- Africa's continental coordinating platforms (AU/RECs, and so on).
- Institutions and organizations (governmental, intergovernmental, and those serving stakeholder groups).
- Leadership (political, managerial, entrepreneurial, traditional rulers, and so on).
- · Youth and women.
- The African people, particularly grassroots populations and the public.
- Individual Africans, for commitment to being the "New African" for "The Africa We Want."

These levels are important not only for assessing capacity readiness, but more important for guiding the preparation of capacity development plans and enhancing the capacity for greater ownership and mobilization for work under Agenda 2063.

2

## CAPACITY FOR WHAT? THE THREE STRATEGIC DIMENSIONS OF AGENDA 2063

Starting with the first 10 years, the Capacity Team addressed the question: What capacities are necessary for achieving Agenda 2063? The team undertook a substantive analysis of the content, purpose, and activities of Agenda 2063, leading it to "unpack" the Agenda into three strategic dimensions (figure 2.1).

This approach helps us understand the work needed to achieve the outputs and outcomes in this vision (table 2.1). This unpacking therefore provides a first strategic response to the question: capacity for what?

These dimensions are not mutually exclusive; are multilevel and multifaceted; and are

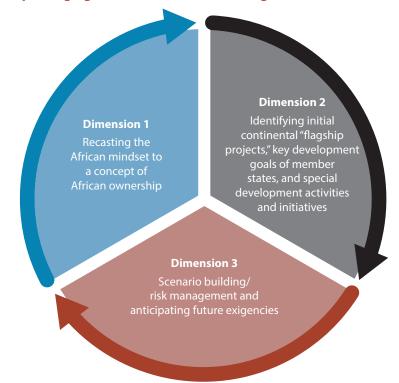


Figure 2.1 Unpacking Agenda 2063 into three strategic dimensions

Source: ACBF Capacity Team.

Table 2.1 The three strategic dimensions of Agenda 2063

Dimension 1: Recasting the African mind-set to a concept of African ownership	Dimension 2: Identifying initial continental "flagship projects," key member state development goals, and special development activities and initiatives	Dimension 3: Scenario building/risk management and anticipating future exigencies
Capacities needed:  • Mind-set change  • Change and transformation readiness  • Spirit of self-confidence  • African values  • Pan-African perspective—African liberation and solidarity  • African ownership of its development paradigm  • Performance and evaluative culture  • African ownership and management of its own resources and agendas  • Accountable and transformative leadership  • Responsive institutions  • African ownership of its own narrative and brand	Capacities needed: Flagship programs and areas for accelerated actions Programs and activities in the 10-year plan National and regional projects Stakeholder group projects and initiatives Continental free trade agenda	Capacities needed:

Source: ACBF Capacity Team.

dependent on each other for unlocking the capacities needed for achieving Agenda 2063.

# Dimension 1: Recasting the African mind-set to a concept of African ownership

The most important dimension for realizing the Agenda 2063 vision, dimension 1 challenges the African continent to use the opportunity of Agenda 2063 to pursue unprecedented prosperity, take charge of its destiny, and secure the ownership of its own resources and of the African narrative and brand (box 2.1). Succeeding in this dimension will be essential to paving the way for dimension 2.

# Dimension 2: Identifying initial continental flagship projects, key member state development goals, and special developmental activities and initiatives

This dimension has, in some ways, already been seen in other continental frameworks designed with flagship projects, such as the

## Box 2.1 The significance of dimension 1 in Agenda 2063

Agenda 2063 should be seen as transformative. That intent is nested in its goals, priority areas, and indicative strategies. This agenda will not, however, be attained just because it is desired. It will need a purposeful construction, nurturing and harnessing the drivers of change, that is, the capacity to drive transformation and to help and manage change processes.

The focus in dimension 1 is not necessarily on projects. Instead, a premium is placed on less tangible, less evident but crucial, and often neglected transformative capacities that will be a new foundation for Africa to believe in its capacity to be a great continent. This captures Africa's grand vision of "an integrated, prosperous and peaceful Africa, driven by its own citizens and representing a dynamic force in the global arena."

Source: ACBF Capacity Team .

Lagos Action Plan, the African Economic Treaty and, most important, the 1963 Organization of African Unity Summit Resolution (which laid the foundation for the continent to develop). Adding bold flagship projects with timeframes is an important continental step forward.<sup>2</sup> Achieving these flagship projects will also depend heavily on the mind-set transformation aspects under dimension 1.

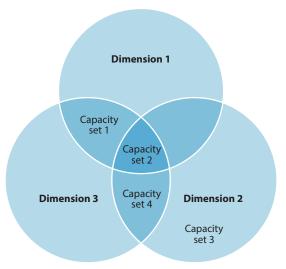
### Dimension 3: Scenario building/ risk management and anticipating future exigencies

Critical for success on this dimension will be Africa's belief in and commitment to preemptively charting and designing its own vision of the future, including establishing leadership in various technological areas. Success will also require intelligent approaches for managing risks and hurdles (internal and external).

## Linking the four capacity sets to the three strategic dimensions

Figure 2.2 shows the interrelationship between the capacity sets and strategic dimensions. The key capacity set is number 2, which comes through in all three dimensions, emphasizing that achieving Agenda 2063 requires focus and investment on driving change and transformation.

Figure 2.2 Interrelationship between the four capacity sets and the three strategic dimensions of Agenda 2063



Source: ACBF Capacity Team.

3

## CAPACITY REFLECTIONS ON THE FIRST 10-YEAR IMPLEMENTATION PLAN

#### **Seven aspirations of Agenda 2063**

The Agenda 2063 consultative process undertaken by the AUC brought forth seven aspirations for the next 50 years, with implementation broken down into the short, medium, and long term. Six of the seven are presented as feeders to what we consider the ultimate aspiration—a prosperous Africa, based on inclusive growth and sustainable development (table 3.1).

## Goals and priority areas under the first 10-year plan

Agenda 2063 has five 10-year plan frameworks. This first plan focuses on issues pertinent to the 10 years but also aligned with the other expected outcomes at the end of 2063. The first plan has 20 goals and 35 priority areas (and 289 targets) centered on the seven aspirations (see table 3.1). Most of these targets are national, with the continental/regional bodies playing other roles.

## Identifying likely stakeholder capacities and responsibilities for the first 10-year plan

In addition to the 10-year plan's flagship programs are national priority programs and projects, and development initiatives of stakeholder groups. The first 10-year plan thus provides a continental framework

that can be populated with people-centered activities in line with the plan's bottom-up effort to imbue stakeholder groups with responsibility.

## Agenda 2063 flagship projects seen through a capacity lens

The flagship projects in the first 10-year plan (box 3.1) have been designed to move from the norm of not implementing previous continental frameworks, and propel Africa's transformation toward 2063. Making Agenda 2063 happen will require capacities to achieve the flagship programs and projects.

The flagship programs' outputs require capacities—hard and soft—to be built and enhanced (figure 3.1). A capacity lens brings to the fore the issues of instruments and mechanisms that can enhance committed attention to making Agenda 2063 a true "African transformation" agenda.

Previous continental development frameworks have shown the need for a renewed focus on monitoring and evaluation (M&E) to be a key element in Agenda 2063. Delivering the 10-year plan—specifically the flagship projects—will require investment in M&E capacities to promote an M&E culture. M&E for these projects will require developing capacities for communication, implementation, stakeholder engagement, and reporting on progress at multiple levels.

Table 3.1 Likely responsibilities for the priorities of the 10-year plan

Asniration	Goal	Priority area	Primary responsibility/	Secondary responsibility/
(1) A prosperous Africa, based on inclusive growth and sustainable development	(1) A high standard of living and quality of life for all citizens	Jobs, incomes, and decent work End to hunger, poverty, and inequality Social security and protection, including people with disabilities Modern and livable habitats, and basic quality services	Member states RECs and regional entities	Private sector CSOs Youth and women's networks Think tanks
	(2) Well-educated citizens and a skills revolution underpinned by STI	Education and STI skills-driven revolution	Member states RECs and regional entities AU	Academia Think tanks Private sector Diaspora
	(3) Healthy and well-nourished citizens	Health and nutrition	Member states RECs and regional entities	Private sector CSOs Diaspora
	(4) Transformed economies	Inclusive and sustainable economic growth STI-driven manufacturing/ industrialization and value addition Economic diversification and resilience	Member states RECs and regional entities AU Private sector	Think tanks Financial institutions
	(5) Modern agriculture for more productivity and production	Agricultural productivity and production	Member states RECs and regional entities AU institutions	Private sector Youth and women's networks CSOs
	(6) Blue/ocean economy for accelerated economic growth	Marine resources and energy Port operations and marine transport	Member states RECs and regional entities Private sector	Diaspora
	(7) Environmentally sustainable climate, and resilient economies and communities	Sustainable natural resource management Conservation, biodiversity, climate resilience, genetic resources, ecosystem water security, and natural disaster prevention and preparedness	Member states RECs and regional entities AU	CSOs Private sector Think tanks Research institutions
(2) An integrated continent, politically united and based on the	(8) United Africa (federal or confederate)	Framework and institutions for a united Africa	Member states RECs and regional entities AU	Academia Youth and women's networks
ideals of Pan-Africanism and the vision of African renaissance	(9) Established and functional continental financial and monetary institutions	Financial and monetary institutions	Member states RECs and regional entities	Financial institutions Private sector
	(10) World-class infrastructure crisscrossing Africa	Infrastructure and communications connectivity	Private sector Diaspora	Member states RECs and regional entities

Aspiration	Goal	Priority area	Primary responsibility/ capacities	Secondary responsibility/ capacities
(3) An Africa of democracy, good governance, and respect for justice and human	(11) Strong democratic values and practices, universal principles of human rights, and justice and the rule of law	Democracy and good governance Justice and human rights	Member states AU organs and institutions	CSOs Academia Think tanks Youth and women's networks
nghts	(12) Capable institutions and transformative leadership in place	Leadership and institutions Local governance and participatory development	Member states RECs and regional entities	CSOs Academia Private sector Youth and women's networks
(4) A secure and peaceful Africa	(13) Peace, security, and stability	Preserving and maintaining peace and security	Member states RECs and regional entities AU organs	Youth and women's networks CSOs
	(14) A stable and peaceful Africa	Institutional structure for AU Instruments for peace and security	AUC RECs	Peace and Security Council (PSC)
	(15) A fully functional and operational APSA	Fully functional and operational APSA pillars	Member states RECs and regional entities	Member states
(5) An Africa with a strong cultural identity and common ethics, values, and heritage	(16) Preeminent African cultural renaissance	Values and ideals of Pan-Africanism Cultural values and African renaissance Cultural heritage, businesses, and creative arts	Member states Faith-bassed organizations CSOs Youth and women's networks	Private sector CSOs
(6) An Africa whose development is driven by African people,	(17) Full gender equality in all spheres of life	Girls and women's empowerment Preventing violence and discrimination against girls and women	Member states RECs and regional entities	Private sector Academia Faith-based organizations
especially its youth and women, and that cares for children	(18) Engaged and empowered youth and children	Youth and children's empowerment	Member states RECs and regional entities Youth networks	Private sector CSOs
(7) An Africa as a strong and influential global player and partner	(19) Africa as a major partner in global affairs and peaceful coexistence	Africa's place in global affairs Partnership	AU organs Member states RECs and regional entities	CSOs Diaspora
	(20) Africa taking full responsibility for financing her development	African capital markets Fiscal system and public sector revenues Development assistance	Private sector Member states RECs and regional entities Diaspora	csos

Source: ACBF Capacity Team, adapted from AUC (2015).

#### Box 3.1 The first 10-year plan's flagship projects

- *Integrated High-Speed Train Network*. Connecting all African capitals and commercial centers, this will help move goods, people, and factor services; reduce transport costs; and relieve congestion of current and future systems.
- African Virtual and E-University. This will increase access to tertiary and continuing education in Africa by reaching many students and professionals in multiple sites simultaneously. It will also develop relevant and high-quality open, distance, and e-learning resources to offer prospective students access from anywhere in the world, anytime.
- Commodities Strategy. This will help African countries integrate into global value chains, add value and extract higher rents from their commodities, and promote vertical and horizontal diversification anchored on value addition and local content development.
- Annual African Forum. This will bring together, annually, academia, civil society, the private sector, and African
  political leadership to discuss measures, constraints, and developments needed to realize the goals and aspirations of
  Agenda 2063.
- Continental Free Trade Area by 2017. This will boost growth of intra-African use and trade as an engine of growth and sustainable development. It aims to double intra-African trade by 2022. It also aims to strengthen Africa's common voice and policy space in global trade negotiations and to establish the African Investment Bank and Pan-African Stock Exchange (by this year), the African Monetary Fund (by 2018), and the African Central Bank (in two stages, by 2028/34).
- African Passport and Free Movement of People. Transforming Africa's laws, which remain restrictive on movement of people despite political commitments, is needed to bring down borders, promote issuance of visas by member states, and enhance free movement of all African citizens in all African countries by 2018.
- *Grand Inga Dam Project*. The optimal development of the Inga Dam will generate 43,200 megawatts of power to support current regional power pools and their combined service to transform Africa from traditional to modern energy sources, and to ensure all Africans' access to clean and affordable electricity.
- Pan-African E-Network. With a wide range of stakeholders, this envisages policies and strategies that will lead to transformative e-applications and services in Africa, especially cyber security; the intra-African broadband terrestrial infrastructure; and the information revolution as the basis for service delivery in the bio- and nanotechnology industries—ultimately transforming Africa into an e-society.
- Silencing the Guns by 2020. Aiming to end all wars, violent conflicts, and gender-based violence, and to prevent genocide, this project will monitor progress by establishing an African Human Security Index.
- African Outer Space Strategy. This aims to bolster Africa's development by strengthening its use of outer space.
  Outer space is crucial to Africa in all fields: agriculture, remote sensing, climate forecasting, disaster management, banking and finance, and defense and security. Africa's access to space technology is no longer a luxury; there is a need to speed up access to it. New developments in satellite technology make it very accessible to African countries.
  The Brazzaville meeting on aerial space technology underlined the need for strategies to develop a regional market for space products in Africa.

Source: ACBF Capacity Team, adapted from AUC (2015).

Figure 3.1 Agenda 2063 flagship programs seen through a capacity lens "Soft" capacities Agenda 2063 "Hard" technical required flagship programs capacities required Strong leadership commitment Various critical, technical, Integrated High-Speed Train and sector-specific skills Governance abilities Network Political will Leadership Strategic planning capacities
Systems and work processes Mindset change/readiness
Transparency and accountability
Self-confidence capacities Great Inga Dam Silencing the Guns by 2020 Governance capacities Annual African Forum Strong commitment Implementation capacities Conceptual capacities
 Conceptual capacities
 Monitoring and evaluation capacities
 Management capacities
 Coordination
 Knowledge and information capacities Ownership mindsetIntra-African mutual help **Establishment of the Continental** commitment
Regional integration mindset
Sense of fairness and equity
Accommodative approaches to **Financial Institutions** The Continental Free Trade Area 8. Single African Aviation Market "sovereignty"

• Change and transformative readiness Development management capacities Management and development of partnerships 9. Outer Space capacities
• Willingness capacity 10. The Pan-African E-Network Infrastructure capacities 11. Establishment of the Virtual Proactivity
Hard-working habits
High drive and energy
Teamwork abilities Risk management
Science and technology University 12. Free Movement of People Efficient and effective capacities and the African Passport Innovating/inventing capacities

Source: ACBF Capacity Team.

4

# BROAD ISSUE AREAS: WHERE WE ARE AND WHERE WE WANT TO BE

This report's findings are derived from questionnaires; document reviews, particularly capacity assessments and institutional legal framework documents; and high-level consultations with regional and continental institutions on the capacity imperatives. The review was primarily regional and continental, while a national capacity-scoping exercise for Agenda 2063 would later be undertaken. The scope of this work included:

At the continental level:

- The AU and its organs, including the PSC, General Assembly, Executive Council, Permanent Representatives' Committee, and the Economic, Social, and Cultural Council.
- The AUC and its departments.
- AU specialized agencies and institutions: the NEPAD Agency, the Pan-African Parliament (PAP), and the African Peer Review Mechanism (APRM).

At the regional level:

 The eight AU-recognized RECs: the East African Community (EAC); the Union du Maghreb Arabe (UMA); the Community of Sahel-Saharan States (CEN-SAD); the Intergovernmental Authority on Development (IGAD); the Economic Community of West African States (ECOWAS); the Economic Community of Central African States (ECCAS); and the Common Market for Eastern and Southern Africa (COMESA).

The review went beyond the regional and continental institutions to include selective contacts with other stakeholders, including academia, think tanks, civil society, faith-based organizations, the private sector, and youth and women's networks.

This chapter presents findings in broad issue areas for the capacity imperatives needed to achieve Agenda 2063.

## Capacity set 1: Four aspects of organizations' operational capacity

This section looks at the four aspects of operational capacity in the continent's institutional architecture.

#### **Regional and continental institutions**

Africa has made remarkable progress in establishing institutions to contribute to the AU vision and advance its development agenda regionally and continentally, including ones whose set-up goes beyond the institutions of the AU and RECs (table 4.1).

Yet the continent's institutional architecture is heavy and complex. In addition to the bodies in the first column in table 4.1, the AU has more than 30 liaison and technical offices across the continent targeting specific development areas.

Table 4.1 Current regional and continental institutional set-up

Continental	Regional	National	Other development institutions working closely with the AU
AUC AU organs     General Assembly     Executive Council     Permanent Representatives' Committee     PSC     Economic, Social, and Cultural Council AU specialized agencies and institutions     NEPAD Agency     APRM     PAP     African Commission on Human and Peoples' Rights     African Court of Justice AU liaison and technical offices around the continent	Eight AU-recognized RECs  • ECOWAS  • Southern African Development Community (SADC)  • CEN-SAD  • EAC  • IGAD  • ECCAS  • COMESA  • UMA  Other regional or subregional institutions	National ministries Parastatals Other institutions	AfDB UNECA ACBF United Nations Development Programme (UNDP) Regional Service Centre for Africa Other institutions

Source: ACBF Capacity Team.

Regionally and subregionally, beyond the eight AU-recognized RECs, there are at least 100 other entities. And many RECs have overlapping memberships, resembling a tropical forest with climbers and heavy undergrowth (figure 4.1). The regional and continental setups present other challenges, too.

Lack of clear mandates. One challenge linked to the institutional set-up with huge implications for Agenda 2063's implementation is the poor clarity on mandates among the AUC and RECs; among the AUC and some AU organs; and among the AUC and PAP, APRM, and NEPAD Agency. The mandates may be stated on paper, but implementation on the ground often demonstrates tensions.

Duplicating roles, functions, and activities. Lack of clarity has led to overlaps and duplications in how many of the institutions operate, with the AUC often attempting to play both a policy and implementation role. There is also noticeable duplication between the NEPAD Agency and some AUC departments. Regionally, the AUC is also occasionally seen as encroaching on the implementation space of RECs. Further, while

RECs are described as the AU's "building blocks," there is a sense that they are sometimes sidelined into second-class involvement in major forums and other activities.

Legislative framework. The Constitutive Act established a complicated maze of institutions and responsibilities that often overlap or potentially create different power centers.<sup>2</sup> These have severe implications for adopting normative standards and continental decisions. Structurally, organs are set up at the same level without a clear hierarchy and organic link. One area of contention is that the AUC chairperson, deputy chairperson, and commissioners are equally elected. This formula could harbor challenges on full executive authority, which the chairperson might be expected to exercise, on sanctions and rewards for performance.

There is similarly a functional and structural disconnect between RECs and AU organs, possibly because the instruments that govern relations among them are from memoranda of understanding that require updating and are not fully binding. The protocols and other instruments on relations between the AU and

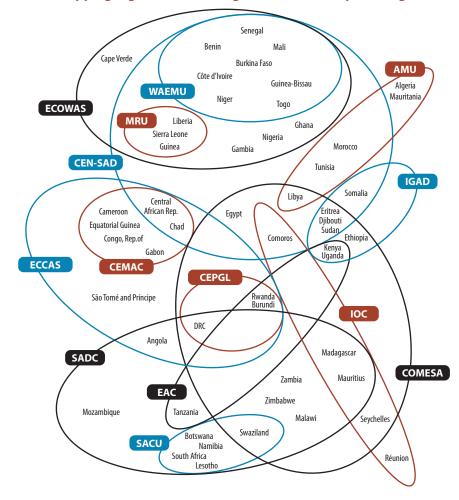


Figure 4.1 Overlapping regional and subregional memberships among institutions

Source: ACBF Capacity Team.

Note: AMU = Arab Maghreb Union. CEMAC = Central African Economic and Monetary Community; CEPGL = Economic Community of the Great Lakes Countries; IOC = Indian Ocean Commission; MRU = Mano River Union; SACU = Southern African Customs Union; WEAMU = West African Economic and Monetary Union.

RECs are not implemented effectively, and need revision.

Governance. The regional and continental governance set-up is onerous, process driven, and time consuming. Implementation of decisions does not reflect harmony or collective voice among continental constituents.

Funding. Member states, including RECs, are inadequately demonstrating ownership of the AU and its programs, since their contributions to the AU are irregular and insufficient. Current funding for the AU and its programs is heavily dependent on external partners—donors fund about 95 percent of the AU and its programs. These institutions have few

resources, compelling them to compete unduly for external funding. This failure by AU member states creates a dependency syndrome that comes with subtle conditions and sometimes intrusive involvement of development partners in AU processes. Arguably, Africa has lost control of its own agenda, while member states hesitate to empower the AUC with greater functional decision-making powers.

Some of the following contribute to regional and continental operational and related capacity challenges:

- Insufficient involvement of nonstate actors, especially youth, women, and other stakeholders in AU and REC processes.
- Insufficient demonstration of a strong Pan-African spirit.
- Limited sharing and exchange of information, with each working as an independent entity.
- Slow implementation and insufficient results-driven approaches.
- · Insufficient decision-making effectiveness.
- Too little decentralization.
- Slow and bureaucratic work approaches and operation methods.
- Insufficient change-driven abilities and desire for innovation.
- Too few transformative leaders and managers.

#### **Human capacity**

The assessment shows great demand for human capacity at all levels for all institutions. Regional and continental staff capacity is hindered in hard and soft skills. AUC. During the review, the AUC had about 1,500 support, professional, and administrative employees assigned to 28 organizational departments, regional/liaison offices, and units and divisions. Of the 1,500, 80 percent worked at AUC headquarters in Addis Ababa, Ethiopia while 20 percent worked at its regional/liaison offices to service an African population of more than 1 billion. To compare, the European Union employs about 33,000 to service the European population of a little more than 740 million. About 33 percent of AUC staff are women, but women constitute only 10 percent of managers. About 40 percent of staff are aged under 40 (table 4.2).

Some 40 percent of AUC employees are policy officers, and about 56 percent are general service (GS) employees (the rest include directors and youth volunteers). Policy officers are technical specialists, and GS employees provide administrative and other support duties. Given that most of the AUC's work is policy oriented, the share of policy officers is low.

The AUC often competes for talent with countries able to offer better salaries (figure 4.2). This could be a barrier to recruiting staff from these countries, and may constitute a political barrier to African integration, including filling staff quotas.

In the past few years only about half the AUC's staff have been on regular contractual appointments, with the rest on periodically renewed short-term contracts. Most contractual staff members have been in the AUC for four years on average. Given rigidities in the budget and human resource regime, the AUC's management tends to offer short-term appointments, since these are not covered under the Maputo Protocol of 2003, according to which only 700 staff can be permanent employees (generally equal to the permanent staff in the AUC). This practice affects

Table 4.2 AUC staff profile, headquarters and regional offices

Classification	Headquarters	Regional offices	Overall (% of total)
Elected official	10		10
Professional			
D1 (directors)	28	2	30 (2%)
P1-P6 (policy officers)	454	125	579 (40%)
General service			
GSA2-GSA6	366	76	442 (31%)
GSB5-GSB10	277	77	354 (25%)
Youth volunteers	33		33 (2%)
Total staff	1,158	280	1,438
Gender distribution			
Female	382	86	468 (33%)
Male	776	194	970 (67%)
Age (years)			
Less than or equal to 40	481	93	574 (40%)
Between 41 and 50	379	109	488 (34%)
51 and above	298	78	376 (26%)
Employment type			
Regular staff	510	192	702 (49%)
Short term	648	88	736 (51%)

Source: Adapted from World Bank (2014).

performance and is counterproductive. There is therefore a need to revisit host-country agreements and the Maputo Protocol to better align staffing requirements with the challenges and requirements of the AUC's Third Strategic Plan 2014–17, which calls for a strategic shift toward economic development, facilitated regional integration in Africa, and delivery on Agenda 2063. This shift requires a skilled and motivated staff.

NEPAD Agency. After the decision by the AU Executive Council in July 2012 for the NEPAD Agency to fill 94 positions over five years (2012–2016), the agency is pursuing that mandate. The new structure comprises the Bureau of the Chief Executive Officer and two departments (the Programme Implementation and Coordination Directorate, and

the Administration, Finance, and Human Resources Directorate).

Among the AU-approved staff positions for the NEPAD Agency is a concentration at P2 and P3 (figure 4.2), with eight positions for P5. Staffing at P3 and P4 would usually provide the backbone for an organization's expert technical inputs. Positions at P4 are expected to cover program staff on partners' funding.

RECs. Based on document reviews and conversations with individuals, it seems that RECs have great demand for additional human capital for all institutions. The capacity of staff to adapt to the greater integration RECs aspire to is inefficient. In addition, each REC's organogram indicates more than the required personnel to execute its mandate.

But filling the various positions was a challenge since RECs expressed concern, during a study by the ACBF, about a lack of funds to recruit the staff needed, and about staff training and skills development. This constraint is caused by and manifested in many issues such as underdeveloped ICT; low numerical skills; inadequate staff incentives; limited secretariat autonomy; lack of regular on-the-job training; staff mismatches and workloads; and too little staff-needs analysis and strategic planning. (These issues also affect the AUC.)

Soft skills. The regional and continental institutions have much room to use soft skills more, notably trust; proactivity; problem-solving skills; results orientation; partnership-building attributes; heavy workload ability; teamwork ability/commitment; negotiation/persuasive abilities; commitment to development outcomes; continuous high energy/commitment; accountability (for results, efficiency); diligence and thoroughness; and speed and sense of urgency.

#### Systems and work processes

Source: NEPAD Agency (2014).

Operational systems are critical for clarity in management systems of such processes as

finance, procurement, recruitment, delegation, and information technology. Many different work processes need to be reviewed, clarified, redesigned, or reengineered to enhance delivery.

Still, some regional and continental institutions use M&E systems increasingly for programs that use qualitative, quantitative, and balanced scorecard approaches. But some of the M&E systems focus on assessing inputs, outputs, and processes rather than outcomes. Inadequacy in work processes feeds into limited response to requests from staff, with a consequence of low rates of productivity and implementation, and of responses by partners and organizations.

#### **Knowledge sharing and information access**

Regionally and continentally, most institutions and organizations conduct little research; generate few publications; and have challenges with communication, dissemination, and knowledge sharing. Most individuals work in silos, seldom sharing information internally or externally. Too few systems are in place for the regional and continental organizations to be "learning bodies."

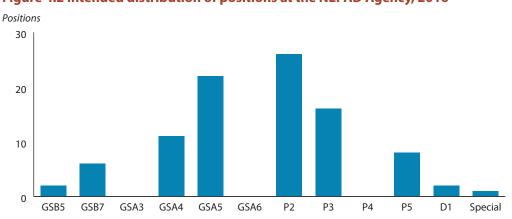


Figure 4.2 Intended distribution of positions at the NEPAD Agency, 2016

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## Other challenges facing regional and continental institutions in championing Agenda 2063

#### **The African Union Commission**

The AU has become an assertive and forward-looking institution with an objective to accelerate economic and political integration. It has revitalized its institutional architecture, starting with a Constitutive Act that embraces democracy, good governance, integration in the world economy, and a mandate to promote peace and security. The AUC has also assumed a tighter working relationship with the AfDB and UNECA. Yet the AU still labors under weak capabilities, inefficient procedures, weak internal systems, and a complex institutional architecture, as just seen.

One challenge facing the AUC since the AU's transformation from the Organization of African Unity in 2002 is to build an institution "fit for purpose." While great efforts have been made in the past decade to do this, the hope was that the institution "in the making" would become effective immediately and meet the expectations of African citizens—but the

AUC has not done so. Some key change areas and capacity-related questions include:

- Should the AUC be given executive authority?
- Should the AUC be reduced to curtail duplication and internal overlap?
- What type of personnel should work there? Are paper qualifications enough for professionals required to drive Agenda 2063?
- What performance and accountability measures should be in place for the AUC to be more responsive?
- How best should the AUC relate to the other RECs, member states, and AU organs?

All these questions, and more, need addressing if the AUC is going to be the apex coordinating point for implementing Agenda 2063.

One example of the AU's structural complexity is that it has about 30 liaison and technical offices in Africa (table 4.3). Some

**Table 4.3 Some AU technical and liaison offices** 

Туре	Name	Location
AU technical offices	African Centre for the Study and Research on Terrorism	Algeria
	The African Academy of Languages	Mali
	African Committee of Experts on the Rights and Welfare of the Child	Ethiopia
	Inter-African Phytosanitary Council	Cameroon
	The Semi-Arid Food Grains Research and Development project	Burkina Faso
	Centre for Linguistic and Historical Studies by Oral Tradition	Niger
	Inter-African Bureau for Animal Resources	Kenya
	African Energy Commission	Algeria
	African Observatory of Science, Technology, and Innovation	Equatorial Guinea
AU liaison and field offices for peace and security	Burundi, Central African Republic, Chad, Comoros, Côte d'Ivoire, Democratic Republic of the Congo (DRC), Guinea-Bissau, Liberia, South Sudan, Sudan, Western Sahara, Algiers (Special Office), Kenya (Rear African Union Mission in Somalia headquarters), and Somalia (Forward African Union Mission in Somalia headquarters)	Various

Source: ACBF Capacity Team.

are understaffed, underbudgeted, and have mandates that need revision for Agenda 2063.

The AU also has special representations and envoys for issues and possible crises (table 4.4).

Most offices in these two tables are for peace, security, and humanitarian issues, so cannot be used to champion Agenda 2063.

#### **African Union organs**

The Constitutive Act in creating the AU organs and vesting them with specific powers does not provide sufficient detail for operationalizing such organs. Some critical areas of their work are understaffed and under-resourced, and the organs do not harmonize their initiatives enough.

- General Assembly and Executive Council. These organs have worked well, but their work has been concerned primarily with organizing meetings and servicing the decisions and content agenda for AU summits and other meetings. Their other foreseen roles and activities require more consistent attention. They also lack resources and expert support services.
- Permanent Representatives' Committee.

  This is an important organ, and its areas

for oversight responsibilities could usefully be streamlined. This would help reduce its workload and provide additional proactive and operational spaces for the AUC's work. Its role should be synchronized with the PAP's as the legislative oversight organ.

- PSC. The strategic organ of the AU on peace and security in the continent, the PSC has made strides in coordinating and collaborating with RECs. Operationally, however, new mechanisms are needed to support and rationalize this collaboration beyond the memorandum of understanding they signed. PSC staff capacity must be strengthened in data and information collection and dissemination, risk analysis, and humanitarian issues.
- Economic, Social, and Cultural Council.

  This provides the framework for the African people to engage with the AU. But its institutional set-up is not yet as visible and engaging as it should be. Staff and other constraints seem to be paralyzing its work.

## The New Partnership for Africa's Development Planning and Coordination Agency

The NEPAD Agency, since its integration into AU structures, has embarked on defining and

#### **Table 4.4 AU special representations in Africa**

Name	Location
AU Cairo office	Egypt
AU Mission in Somalia	Somalia
African-led International Support Mission to the Central African Republic	Central African Republic
AU Mission for Mali and Sahel	Mali
Special Envoy of the AU for the Lord's Resistance Army Issue; AU-United Nations Joint Special Representative for Darfur; AU Mission for Mali and Sahel; Chairperson of the High-Level Implementation Panel for Sudan and South Sudan; AU Representative on the tripartite team for Humanitarian Assistance to South Kordofan and Blue Nile State; Special Representative for Women, Children, and Armed Conflicts; and High Representative of the Chairperson of the Commission for the Operationalization of the African Standby Force	Various

Source: NEPAD Agency (2015).

restructuring its work modalities and coordination frameworks within the AU, making good progress. Yet because NEPAD was originally born with the idea of *transforming* Africa, its role in Agenda 2063 should be discussed, and its current set-up and capacity revisited.

#### **Regional economic communities**

RECs have paid some attention to institutional transformation in line with their mandates. Initiatives range from systems and organizational development to improvements in individual skills (table 4.5).

All RECs are generating regional development plans for implementing mandates and decisions, moving toward three-year planning cycles, though many find this hard given the realities of funding flows and the complexities from new mandates coming from AU summit decisions. It is hard to ensure implementation where budget certainty is limited and plans have to be constantly adjusted.

Each REC has set up a political oversight structure to shape policy decisions and overall direction. They remain reliant, however, on adopting and ratifying instruments before undertaking initiatives, and ultimate authority still usually resides with foreign ministers and with heads of state and government, for whom each REC has to arrange summits (and multiple summit-linked events). Arranging them can be expensive, and although RECs learn from each other and the AU by attending meetings, they could gain by standardizing how they manage such events.

Given their different histories, RECs are at varying stages of formation and capacity. They have some commonality in phasing in economic integration and common policy interventions on trade and flows of goods and people. But they rarely leverage other RECs' experiences to enhance capacity. Some have moved faster on particular integration issues than others and could share experience on strategies that have been shown to work.

All RECs seem to be gradually expanding their mandates to cover social, economic, and governance issues, irrespective of the issues the REC was created to focus on. Many RECs thus have initiatives in multiple sectors, suggesting that they require far greater capacity (table 4.6).

**Table 4.5 Some institutional capacity initiatives among RECs** 

REC	Type of initiative
COMESA	COMESA has undertaken an institutional review and is expanding its capacity. It has institutional strengthening partnerships, largely with the World Bank and AfDB.
ECOWAS	ECOWAS is undertaking a large institutional development and change initiative to help it expand. This includes direct skill development initiatives for senior leaders.
SADC	SADC has been driving an institutional change process, improving its systems, structure, and staff capacity.
ECCAS	ECCAS has launched an institutional strengthening project, receiving AfDB support.
IGAD	IGAD has launched an Institutional Strengthening Action Programme to enhance its capacity for delivery. It receives support from Nordic countries.
CEN-SAD	CEN-SAD began a project for restructuring in 2007. But there is limited evidence to show that there is ongoing reflection on institutional development. Many of the efforts relate to work in particular sectors.

Source: NEPAD Agency, 2015. African Regional Economic Communities Capacity Development Strategy and Implementation Plan: 2015–2025. NEPAD Agency: Midrand

Table 4.6 Summary of sectoral capacity initiatives among RECs

REC	Peace, security, and governance	Economic development and trade	Agriculture and environment	Social development	Infrastructure development
COMESA	Works mainly with the AU on peace and security issues. Not much evidence of internal capacity. Much of the focus is on post-conflict reconstruction capabilities. Has a Committee of Elders, but limited support capacity.	Substantive area of concentration and policy frameworks developed in several areas. But limited focus on capacity enhancement for domestication and implementation.	Party to the Comprehensive Africa Agriculture Development Programme (CAADP), but limited internal capacity for engaging in agricultural policy issues. Some focus on environment and food security policies.	Has programs on particular health issues, but little to suggest holistic capacity for social development.  Not a priority.	No focus on infrastructure development. Main efforts are on trade facilitation and economic integration.
ECOWAS	Has historically been active in peace and security. Possesses substantive capacity support, and programs have increased focus on post-conflict reconstruction.	Has established solid capacity in economic development and is active in trade and monetary issues.	Party to the CAADP, but no real evidence of engaged capacity building. Not a priority area.	Has initiatives on sports and cultural areas, but limited in health and education.	Has developed policy frameworks for water and energy, but has little capacity in active infrastructure development projects.
SADC	Capacity enhancement in peace and security is mainly in partnership with the AU.	Some economic integration initiatives are under way, but not much evidence of focused capacity enhancement initiatives.	Party to the CAADP, but has few capacity strategies on agriculture and the environment.	Is under pressure from member states to broaden focus, but has little capacity for engaging in social issues.	Projects and initiatives are under way. Substantive support derived for specific infrastructure areas.
ECCAS	Initiatives have unfolded under the PSC. Has capacity for early warning, deployment, and peacekeeping in partnership with the AUC.	Development and capacity support is focused on trade, including the Programme for Building African Capacity for Trade.	The CAADP is a central approach for capacity enhancement. Intervenes in environmental management and disaster risk management.	No real evidence of capacity initiatives in this area.	Plans for infrastructure have been developed. Support for enhanced capacity received from several sources.
IGAD	Has worked closely with the AU. More direct capacity initiatives relate to counter-terrorism. A Peace Fund has been established.	Limited evidence of capacity enhancement in economic integration. Most efforts are in agriculture and infrastructure.	Party to the CAADP, but focus is on drought management and dealing with natural disasters.	Some efforts under way on issues of health and women, but does not appear too active on social issues.	Focus on infrastructure mainly in studies but not really in implementation. Capacity development mainly for specific research initiatives.
EAC	Initiatives focus on crime. Capacity development mainly through knowledge exchange. Initiatives are unfolding in governance.	Has launched initiatives to support member states. Support comes mainly from the United States Agency for International Development Southern African Global Competiveness Hub program.	Party to the CAADP, but no capacity initiatives are evident.	Some capacity support initiatives are in place with regional centers of excellence in particular areas. Has some joint social initiatives.	Has ongoing infrastructure projects, all with some form of capacity enhancement integrated with project implementation.
CEN-SAD	No evidence of capacity enhancement initiatives in this area beyond AU-led initiatives.	Studies conducted but no evidence of focused capacity initiatives. Past focus on investments through specialized agency.	Has established initiatives, but most are at policy level with little capacity for implementing them.	Little evidence of active initiatives.	Initiatives have emerged focused on conceptualization. Little active capacity development.
UMA	Has not really been active in peace, security, and governance issues, partly due to complex internal and inter-member state relations.	Some policy effort and a regional bank. But efforts seem limited.	Has conducted some policy work on desertification. Not very active in the CAADP-related initiatives.	Little evidence of activities.	Had some small projects in the past.

Source: NEPAD Agency (2015).

#### **African Peer Review Mechanism**

The APRM began within the NEPAD Agency to promote economic, political, and corporate governance. It is now a semi-independent institution under the AU system, created and driven by Africans for Africans. It is remodeling itself to align its work processes with Agenda 2063 and to promote compliance with these aspects of governance. It is also a voluntary self-assessment mechanism for AU member states to consolidate and institutionalize democratic governance, but such voluntarism renders it hard for the entity to broadly push and promote governance and peer review for every African country.

#### **The Pan-African Parliament**

The parliament's aim is to promote representing common Africans and their grassroots organizations in the decisions and deliberations of AU policy organs. Its mandate is to "exercise advisory and consultative powers only" to AU policy organs, for which it is given the authority to pass resolutions and make recommendations on matters important

to Africa, but not, however, the oversight and legislative powers that would make it a strong enforcement body for Agenda 2063.

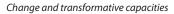
The AU in 2012 set up the African Governance Architecture and the African Governance Platform as political and institutional frameworks for promoting, harmonizing, and coordinating democracy, governance, and human rights. The Platform is an arm to help dialogue, information exchange, and joint action among regional, national, and continental governance actors.

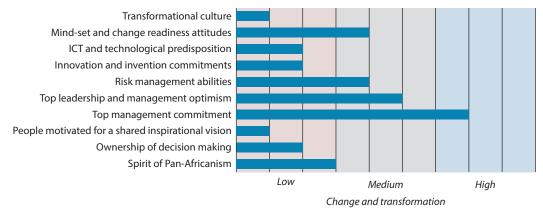
## Capacity set 2: Change and transformative capacities

The Capacity Team reviewed the prevalence of change and transformative capacities in regional and continental institutions (figure 4.3).

The team also administered online questionnaires to African stakeholder groups (diaspora, academia, think tanks, private sector, civil society, youth and women's networks, and Africans working in international organizations), to ascertain their perception on the prevalence of

Figure 4.3 The prevalence of regional and continental change and transformative capacities





Source: ACBF Capacity Team.

Note: Capacities are rated from 0–9, where (approximately) 1–3 are low, 4–6 are medium, and 7–9 are high.

change and transformation capacities in such institutions (figure 4.4).

#### **Capacity set 3: Composite capacities**

The composite capacities of regional and continental organizations were reviewed in four groups:

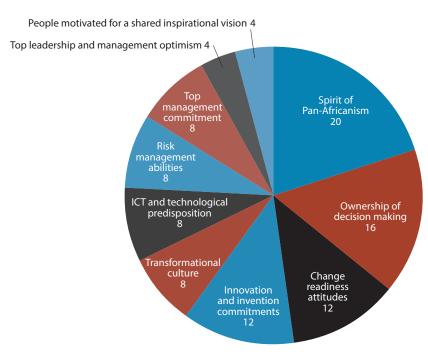
- Strategic planning (the most prevalent group—figure 4.5): strategic planning; critical/strategic thinking; and results-based management.
- Program development, management, and financing: ownership financing; program development; mobilizing domestic resources; and project planning and implementation.

- Facilitation: leveraging assets; partnership management and development; communication and awareness creation; coordination and organizational capability; and process facilitation and organizational skills (including "functional" capacities).
- Mnowledge and risk management: risk management and mitigation; management of development cooperation; knowledge systems to drive change/transformation; and critical support systems and processes, including the use of technology and innovation.

Although the figure shows that institutions adopt planning strategies and mechanisms, a finding from strategic planning documents is that little attention is paid to critical strategic thinking and results-based management,

Figure 4.4 African stakeholders' perspective on regional and continental change and transformative capacities

Percent



Source: ACBF Capacity Team.

Percent

100

75

50

25

0 Strategic Program development, planning management, and financing Facilitation Knowledge and risk management

Figure 4.5 Estimated prevalence of regional and continental composite capacities

Source: ACBF Capacity Team.

which are key elements. Similarly, insufficient focus is given to implementing activities and to mobilizing domestic resources to finance programs and projects. The figure also suggests that capacity to leverage assets, generate data, and communicate and raise awareness is low, as is that for knowledge systems.

## Capacity set 4: Critical, technical, and sector-specific skills

The Capacity Team pursued preliminary work on the skills needed for implementing Agenda 2063. Full details are in a related document, African Critical Technical Skills: Key Capacity Dimensions Needed for the First 10 Years of Agenda 2063. This section highlights engineering, manufacturing, and construction; physicians and other health workers; and higher education institutions, including production of graduates.

## Engineering, manufacturing, and construction

Professionals in these fields will constitute a key capacity base for Agenda 2063, especially

in delivering the flagship projects. The United Nations Educational, Scientific, and Cultural Organization (UNESCO) has estimated that Sub-Saharan Africa will need about 2.5 million new engineers.

The graduates in selected countries grew by 139 percent in 2009–2014, with Benin, Eritrea, Ethiopia, Mozambique, and Swaziland producing more graduates in engineering, manufacturing, and construction. Absolute numbers remain low, however, in most of the countries (figures 4.6 and 4.7).

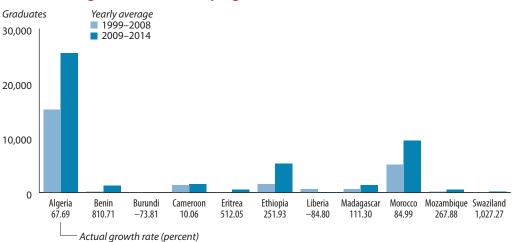
#### Physicians and other health workers

See table 4.7.

## Higher education institutions and graduate production

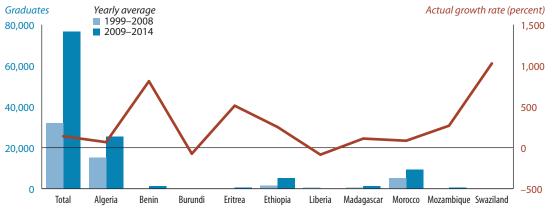
Table 4.8 provides an idea of current graduate production in the African educational system. (Updated data now show an estimated 2,600 universities, colleges, and technical institutions, rather than 2,271, with an estimated 775 public and 226 private universities.)

Figure 4.6 Number and percentage of tertiary education graduates in engineering, manufacturing, and construction programs (selected countries)



Source: Adapted from UNESCO Institute for Statistics (2015).

Figure 4.7 Illustrative country examples of graduates from engineering programs in Africa



Source: Adapted from UNESCO Institute for Statistics (2015).

#### Status of African youth and women

#### **Youth**

Youth empowerment and participation is an uncontested concept in political modernity, whether in economic and political debates or academic discourse. The Population Reference Bureau reports that the African population is 1.136 billion, with 41 percent under the age of 15, and 65 percent under 35, offering a phenomenal potential dividend given the improved educational standards and advanced uptake of technology among

Table 4.7 Density of physicians, nurses, and midwives and required rate of workforce growth according to population growth rates in 12 African countries

	Physicians, nurses,	Annual net growth	(%)	Annual	Required annual	
Country	and midwives per 1,000 population	Scenario I	Scenario II	population growth (%)	workforce growth (%)	
Central African Republic	0.52	-0.7	-2.3	1.8	13.4	
Côte d'Ivoire	0.73	7.5	5.9	2.2	10.4	
DRC	0.64	-1.3	-2.9	2.5	11.6	
Ethiopia	0.24	8.7	7.1	2.6	20.4	
Kenya	1.28	-2.5	-4.1	2.4	5.2	
Liberia	0.33	4.6	3	4.6	17.7	
Madagascar	0.61	4	2.4	3	12	
Rwanda	0.48	-1.0	-2.6	4.9	14.2	
Sierra Leone	0.39	1.7	0.1	2.6	16.1	
Tanzania	0.37	4.1	2.5	3.2	16.4	
Uganda	0.81	-2.3	-3.9	2.3	9.4	
Zambia	2.15	-3.8	-5.4	2.1	0.5	
Total	0.64	3.2	-1.6	2.7	11.6	

Source: Adapted from the World Health Organization (2015).

**Table 4.8 Higher education institutions in Africa** 

	Universi	ties	Polytech	nics	Colleges		Institute	s	
Country	Public	Private	Public	Private	Public	Private	Public	Private	Total
Central Africa									
Cameroon	8	15	0	3	0	4	0	9	39
Central African Republic		2	1	n/a		1		4	7
Chad		3	1	n/a		4		7	14
Congo, Rep. of		1		1	n	/a		1	3
DRC	5	57	1	n/a	n	/a	1	06	163
Equatorial Guinea		1	1	n/a	n	ı/a	n	ı/a	1
Gabon		3	1	n/a		6		1	10
Total	75	15	1	3	11	4	119	9	237
North Africa									
Algeria	3	15		1		1	n	/a	37
Egypt	29	23	0	1	0	9	0	3	65
Libya	1	6	1	n/a	1	1	2	24	42
Morocco	16	7	1	n/a			n	/a	23
Sahrawi Arab Democratic Republic		0			n	/a			0
South Sudan	7	7	1	n/a	1	0	n	/a	15
Sudan	4	16	1	n/a	1	10		3	59
Tunisia	3	5		1	3	39	1	08	183
Total	184	37	2		52	10	135	3	424
Southern Africa									
Angola	7	17	0	2	n	ı/a	2	2	30

32

**Table 4.8 Higher education institutions in Africa** (continued)

	Universi	ties	Polytech	nics	Colleges		Institute	s	
Country	Public	Private	Public	Private	Public	Private	Public	Private	Total
Botswana	1	6	1	n/a	1	4		2	12
Lesotho		2	1	n/a	1	n/a	r	ı/a	2
Madagascar		7		2		7	2	22	39
Malawi	5	10	2	0	1	2	r	ı/a	20
Mauritius		4	1	n/a			5	5	14
Mozambique	1	13	1	n/a	1	n/a		8	22
Namibia		2		1		4	1	10	17
South Africa	3	39	1	n/a	9	90		5	134
Swaziland		4	1	n/a		7		3	14
Zimbabwe	1	16	1	n/a	1	n/a	n	ı/a	16
Total	101	27		5	114	2	57	7	315
Eastern Africa									
Burundi		7	1	n/a		1		1	9
Comoros		1	1	n/a	1	n/a	n	ı/a	1
Djibouti		1	1	n/a		4		1	6
Eritrea			1	n/a		6		1	7
Ethiopia	(	55	1	n/a	85		10		160
Kenya	34	14	n/a		82		155		285
Rwanda	3	8	2	3	3	1	1	8	29
Seychelles		1		1	1	n/a	n	ı/a	2
Somalia	1	13		3		2		7	125
Tanzania	11	17	1	n/a	4	43	1	17	88
Uganda	12	30	1	n/a	1	ı/a		4	46
Zambia	7	19	1	n/a	1	n/a	0	1	27
Total	258	88	6	3	226	1	176	9	767
West Africa									
Benin		8		2		6		1	18
Burkina Faso		3		1		6		4	14
Cape Verde		7	1	n/a		2		2	11
Côte d'Ivoire	1	13	1	n/a		7		9	29
Gambia		2	1	n/a		1		1	4
Ghana	15	47	1	n/a	1	8	7	11	89
Guinea		5	1	n/a	1	ı/a		5	10
Guinea-Bissau		4	1	n/a	1	n/a		1	5
Liberia	2	6		1		3		5	17
Mali		4	1	n/a		2		2	8
Mauritania		1	1	ı/a		2		5	8
Niger		2	1	n/a		8		1	11
Nigeria	79	59	1	08	1	ı/a	n	ı/a	246
Senegal	5	7		1		20		5	38
Sierra Leone		3		1		7		1	12
Togo		4	1	n/a		5	n	ı/a	9
Total	157	119	1	.14	70	8	49	11	528
Total	775	286	128	9	473	25	536	39	2,27

 $Source: \textit{Extracted and compiled by ACBF Capacity Team from National Universities Commission (2015) and \textit{Michigan State University (2015)}. \\$ 

African youth (figure 4.8). Many have distinguished themselves as entrepreneurs, academics, and political leaders of global repute.

Africa has the youngest population in the world and Agenda 2063 recognizes this youth cohort as the continent's demographic dividend. But the sheer size of Africa's youth population is not enough to guarantee its value in Africa's development, since its current condition threatens to keep it locked outside systems of production and decision making. About 10 million young Africans enter the labor market each year, with less than 22 percent absorbed by the African public sector and less than 8 percent by the private sector. The bulk is either unemployed, in insecure employment, or self-employed within the African informal sector. About 300,000 young people attempt to leave Africa each year as economic migrants or refugees to North America, Europe, the Middle East, and Australasia. In the last three years alone, about 10,000 have died on the seas, often as victims of human traffickers.

Only when Africa makes a real investment to increase capacities and opportunities among its young people will they represent a real dividend.

In addition, despite being the majority, those under 35 are marginalized in political, social, institutional, economic, and religious spheres. This contrasts with the past where youth were involved in championing great movements in Africa that led to today's political landscape. But the majority's voice is oppressed. Young people may be creative and innovative but do not get the support to achieve their dreams. Planning for the continent's development does not involve youth, who are often only considered in the post-planning phases.

While most countries in the west are adjusting their policies to cater to growing elderly populations, Africa is not gearing its policies to support and enable a fast-growing youth and child population. There is not enough emphasis on creating sustainable policies for health, education, and housing systems, or on investing in youth as creators, innovators, and industrialists. As a result, enormous pressure

Figure 4.8 Summary of key capacity elements of African youth

## The condition of African youth

10 million young Africans enter the labor market annually; only 30% are absorbed

There are 2,295 universities/institutes in Africa

#### Graduation program areas from 2009–2014:

Education programs = 108,247

Health sciences = 44,150

Agriculture = 24,392

Engineering, manufacturing, and construction = 76,648

65%
of Africa's
population is
under 35 years old



Source: ACBF Capacity Team Presentation, 2015.

has been placed on Africa's resources, especially housing, services, and livelihoods, made worse because 40 percent of the population is living in urban areas.

There must therefore be a conscious effort to include youth—otherwise, current planning is akin to building a house for someone who has no use for it.

#### Women

The plethora of challenges facing women in Africa are not insurmountable, and Africa has the potential to turn the tide for Africa's women with clear, focused, and committed action. But a sense of urgency has to be injected in current programs to reverse the continued exclusion and vulnerability of African women, engaging them in all three of the earlier dimensions (see figure 2.1 for example). Implementing Agenda 2063 must begin from the premise that the Agenda will aim to mainstream and integrate programs for women (and youth). Over and above this, some sectoral and technical areas in Agenda 2063 lend themselves to a special

focus on women, particularly rural women and young women.

Agenda 2063 aspires for an Africa where women play their rightful role in all spheres of life, are free from abuse and discrimination, and are able to enjoy a full range of social, economic, and political rights. These aspirations are sound and noble, but the current condition of Africa's women is very different.

Women make up 50 percent of Africa's population, but 62 percent of illiterate adults in Sub-Saharan Africa, implying that they are not in a position to take up emerging opportunities for industry, enterprise, and innovation. Their participation rate in economic activity is equally poor: 70 percent of women are in the informal sector, and only 1 in 26 are in senior management, compared with 1 in 6 men (figure 4.9). In seven of Africa's largest economies, only 32.7 percent of women are in the labor force. Women make up some 70 percent of Africa's agricultural labor and they produce 90 percent of food.

Figure 4.9 Summary of current capacity elements of African women

# The condition of African women Only 32.7% participate in the labor force Only 28% in technical training 70% in informal sector 70% of agricultural labor

Source: ACBF Capacity Team Presentation, 2015.

Most African youth and children are female, and their potential is unlimited. Evidence already shows that they are early adopters of technology and innovation. A mass investment in training and educating African female youth could change the work landscape and dramatically increase enterprise and innovations in Africa. Investing in girls and women, especially in education, reproductive health, and child marriage prevention, is key to Africa's demographic transition.

#### **Problems common to youth and women**

The capacity needs assessment for delivering Agenda 2063 showed that various soft capacities under dimension 1 were missing, especially those related to capacity to transform and capacity to lead (with exceptions —box 4.1). At the center of this was a glaring absence of youth and women in leadership and decision-making roles, and an imbalance in the employment demographics of key institutions. This has three possible explanations: a failure to employ and support youth and women through the institutions' employment pipeline; insufficient attention to building the capacity of youth and women to lead and manage; and a reluctance to create real opportunities for youth and women to take up leadership roles.

## Box 4.1 Promising capacity practices in Africa: Some examples

- Rwanda's parliament is 64 percent women.
- Ethiopia increased its universities over 12 years from two to 34 in 2012.
- Benin's Songhai Centre innovatively promotes agriculture, youth entrepreneurship, and sustainable development.

Source: United Nations (UN) (2013), Burnett (2014), and Modernizing Extension and Advisory Services (n.d.).

Key decision-making structures and positions in Africa (including those in the AU) are largely occupied by men in their mid-50s and older, and do not reflect the continent's demographic make-up. This is a huge shortfall not only because of poor representation, but more important because these structures' narrow make-up makes them incapable of responding to the needs and aspirations of youth and women, which, in the end, may be dangerous.

#### **Umbrella capacities**

The Capacity Team also examined "umbrella capacities" that Africa needs to promote and protect its interests, particularly in today's globalized world with pervasive interests of global actors. It found that the continent is still weak in the following 10 such capacities:

- Ensuring continental security.
- Achieving true ownership of African funds and natural resources.
- Using outer space satellite technology to monitor Africa's resources.
- Owning Africa's media and communications, and controlling its own narrative.
- Financing, from its own resources, its development activities, including intergovernmental institutions.
- Owning and managing its currency arrangements.
- Negotiating with global actors with one voice.
- Using, analyzing, and generating its own data.

- Promoting and optimizing technology and innovation to place Africa ahead of some global progress curves.
- Developing and ensuring a true African ideological grounding of Agenda 2063. This should aim to enhance pride; belief; committed actions; and collective ownership by all Africans; encourage a new African solidarity agenda; and promote free movement of Africans within the continent.

The absence or dearth of African continental capacity in most of these areas is a serious hindrance to achieving Agenda 2063's transformation dimensions.

## Technology and innovation capacity: The new capacity frontier

Technology—particularly ICT—is a key capacity area for attaining Agenda 2063. Fast-rising "positive" disruptors will most likely change human life and overall social capacities in major ways, starting in the very near future (table 4.9).

The Capacity Team believes that five main technologies can help Africa vastly increase its capacity for attaining Agenda 2063. The Team describes them in African Critical Technical Skills: Key Capacity Dimensions Needed for the First 10 Years of Agenda 2063.

#### The African diaspora

The diaspora is growing in virtually all parts of the globe. One main challenge is therefore to connect the children born abroad to the values and vision of Africa, including the Pan-Africanist ideology.<sup>3</sup>

Data from the Organisation for Economic Co-operation and Development database on immigrants show that Africa ranks the highest in losing its highly educated citizens through migration: 10.2 percent of them are migrants.<sup>4</sup> Figure 4.10 presents a focused snapshot of migrants' education.

Figures 4.11 and 4.12 highlight diaspora numbers.

# Africa's current development conundrum: Capacity as the missing link to achieve Agenda 2063's vision

In assessing the capacities needed for Agenda 2063, it was noted that the resolutions from the 1963 Organization of African Unity

#### **Table 4.9 Mobile penetration in Africa**

2015: Where are we?	Estimates: 2063
Global population: 7.25 billion	Global population: 9 billion
African population: 1.1 billion	African population: 2.4 billion
Global Internet penetration: 3.1 billion/40% of population	
African Internet penetration: 308 million/26.6% of population	
Global unique mobile subscriptions: 3.7 billion	
African mobile subscriptions: 726 million/66%	

Source: UN (2015) and Wilkinson and Chiumia (2014).

Note: The McKinsey Global Institute predicts that 12 disruptive technologies will alter global social and economic systems, and that business and policy leaders must understand which technologies will matter to them, and prepare accordingly. First comes the mobile Internet, becoming increasingly inexpensive since mobile devices are becoming more capable computing devices.

High school graduate or some college Less than high school Bachelor's degree or higher Foreign-born African-born Egypt Ethiopia Ghana Kenya Nigeria Somalia South Africa All other Africa 0 100 0 100 0 50 100 50 50

Percent

Figure 4.10 Selected educational profiles of Africans abroad by birth country, 2008–2012

Source: Gambino, Trevelyan, and Fitzwater (2014).

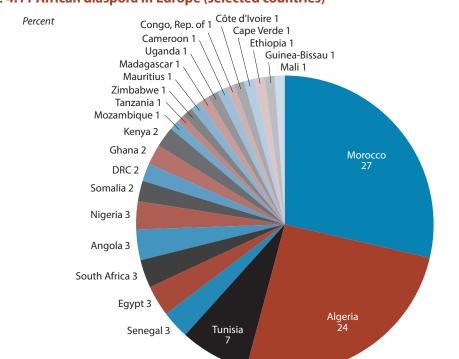


Figure 4.11 African diaspora in Europe (selected countries)

Source: Extracted and compiled by ACBF Capacity Team from Dumont, Spielvogel, and Widmaier (2010).

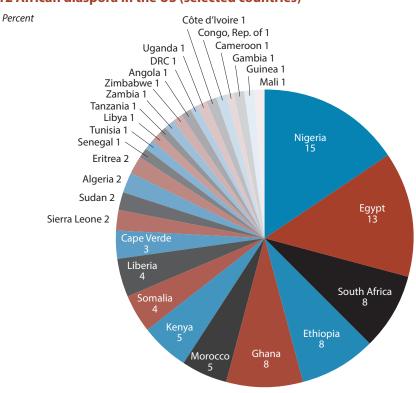


Figure 4.12 African diaspora in the US (selected countries)

Source: McCabe (2011).

Summit stated and strategized approaches for handling some of the issues still being grappled with. This points to a disconnect between the ability to plan and envision, versus capacity to deliver. The reasons for such a disconnect are, understandably, both internal failures of determination, and external distractions.

Institutions that have been set up have not fully lived up to delivery expectations on development outcomes. To compound this, the drivers of Africa's economic growth or demise have often come from outside Africa. Even more troubling is that Africa seems to be content with the slow progress and growth rates, and this raises the question of the standards held in its people's minds.

It therefore seems that Africa has not been fully liberated but rather her minds have been pampered and flattered with phrases from the west such as "Africa is rising," leading the continent to become lazy and think that the goal has been achieved.

Africa is a continent of richness yet its people are living in poverty, but this was not always the case. When united, Africa has been known to move forward out of times of strife, first against colonialism and now against the new enemy: poverty. So it is important to find a unifying cause to create a similar capacity momentum to go forward with Agenda 2063, just as was done during colonization. Such a cause will bind the continent, and give the people the sense of urgency for

realizing Agenda 2063 as a whole. They can stop worrying about Africa's problems by, for example, focusing on lack of capital, lack of infrastructure, or inefficient use of resources as issues to tackle separately.

For Africa to really "rise," there needs to be a transformative agenda of mind-set and ownership, a positive narrative and a real, productive outcome as a result. The continent needs the capacity to realize this dream.

5

## **INITIAL RECOMMENDATIONS**

Chapter 4 shows that a fundamental shift is required in the African psyche. Agenda 2063, as formulated, presents a framework for attaining the AU vision. But for the whole vision to materialize, it needs to be anchored on a deeper existential paradigm, identity, and ideology. There is therefore a need to ensure that, parallel to implementing priority programs and projects, conscious action is taken toward inculcating norms and values that underlie the mind-set transformation needed to attain the AU vision. There is an urgent need to frame and articulate a compelling ideological motivation to unite Africans for the Agenda 2063 imperative for transformation, toward "The Africa We Want."

#### Making institutions fit for purpose

#### **Regional and continental institutions**

Agenda 2063 envisages a continent that ensures that it plans, speaks, and acts as one: a continent with performance actions on delivering collective aspirations and interests and expectations of its citizens. These expectations require us to reexamine the current continental, regional, and national institutions with a view to answering the question: Are they fit for purpose?

The institutional landscape of regional and continental organizations is crowded, and the impact of contributions rarely matches their growing numbers. Some rationalization could usefully be considered in the context of Agenda 2063.

Specifically, their *mandates* need to be clarified and harmonized, and turf wars and duplicated efforts reduced. Operationally,

many of their functions and work systems require reengineering to render the organizations more results-focused and less bureaucratic. Such steps are tied to better governance and enforcement capacities. It might be useful, for example, to reduce the transaction costs that stem from "processes" such as meetings (often involving experts, ministers, and heads of state and government).

Crucially, new ways need to be found to strengthen *implementation:* focus, M&E, capacities, and accountability for results. This means that *human capacity and staffing* for regional and continental institutions needs to be based on qualified people who are "Pan-Africanists." Programs could also be held to implant Pan-Africanist attitudes for staff of the various organs and secretariats.

In the next five years, organizations should update or undertake *transformational institutional reforms* informed by Agenda 2063's vision and its seven aspirations (see table 3.1). Likewise, the organizations' recruitment policies should be heavily reoriented to *increase youth* (male and female).

#### **Specific institutions**

AUC. The AUC should be urgently restructured for greater capacity, to better align its set-up to the spirit and content of Agenda 2063 and its First 10-Year Implementation Plan. One element will be to streamline its working systems, cutting departments and decentralizing its responsibilities.

Consideration needs to be given to enabling the AUC chairperson to exercise *greater powers as* 

chief executive, with full authority to manage the Commission (with appropriate performance rewards and sanctions over staff and senior officers). Another fundamental proposal is to review the *Constitutive Act*, by a commission headed by the PAP (composed of heads of state and government, advisers, and REC executives).

Finally, the AUC might be converted into the *main executive organ* of the Executive Council for the Assembly's decisions. (This would take account of the Commission's proposed streamlining.)

RECs. Consideration should be given to transforming RECs into integral parts of the AU, serving as regional AU commissions. This would help tighten coherence in planning, implementation, and decision making. It would also mean that RECs' decisions and positions would ultimately be adopted continentally, at AU summits. A detailed framework and mechanisms would need to be developed.

RECs should be renamed to reflect the regional focus of their roles and responsibilities in the context of the overall organic AU institutional architecture of the continent. And consideration should be given to having five RECs instead of eight, each representing an AU region.

*NEPAD*. The role of NEPAD in the context of Agenda 2063 should be discussed, with reference to the original NEPAD ideal, the AUC's current functioning, and other secretariat institutions.

APRM. Consideration should be given to transforming voluntary accession to the APRM into a requirement, given widespread agreement across the continent on the importance of democratic governance. Consideration should be given to renaming it to better reflect its role as a governance-promotion entity.

PAP. It would be groundbreaking if the PAP could fulfill its function as a legislative

authority, as initially expected. In more incremental fashion, reforms and further capacity assessment would be useful in the next few years.

## Allocating roles and responsibilities for Agenda 2063

#### **Regional and continental organizations**

Table 5.1 could be a useful starting point in allocating roles and responsibilities for implementing Agenda 2063 among the AUC, PAP, RECs, APRM, NEPAD, and other stakeholders. The organizations' roles and responsibilities for advancing the Agenda are given in figures 5.1–5.4.

#### Other stakeholders

Allocating roles and responsibilities should promote wider ownership, allowing other stakeholders to help deliver the flagship programs and other initiatives.

The private sector (economic operators) should have key organizing roles for flagship and other major projects, and a lead role in promoting resource mobilization from the business sector and investing in innovation and new products. Academia should have a lead role in transforming and reinventing education, and in developing a continental educational curriculum around the three dimensions, instilling a Pan-African spirit. For their part, CSOs should advocate for mindset transformation and an African ownership agenda, including implementing and domesticating Agenda 2063 nationally; contributing to reviewing and monitoring people-centered activities among grassroots populations; and monitoring whether targets are met.

Faith-based organizations should have a lead role in inculcating ethics, morals, and values,

**Table 5.1 Allocating roles and responsibilities** 

Criterion	Examples of areas of applicability
Continental policy orientation	Agenda 2063 transformation policy vision and related policy packages
Implementation design and delivery	Breakdown and programmatic follow-through on the seven aspirations
Subsidiarity consideration	Activities to fit different levels and stages in the regions/countries
Cross-regional dimension	Aspects involving commitment building and cross-continental implications at the highest level of continental political will
Capacity-substantive expertise	Demonstrated delivery capability considering the nature of the issue
Modus operandi efficiencies	Systems and work processes fine-tuned to enhance results/outcomes
Authority of governing mechanism to ensure enforcement/implementation	Considering whether issue is global, social, cultural, economic, political, intercontinental, or peace and security
Effectiveness of linkage loop to member states	On particular issue concerned
Outreach to other stakeholder groups concerned with issue	On particular issue concerned
Ability to attach needed high priority to the concerned issue	Issues requiring sense of urgency

Source: ACBF Capacity Team.

Figure 5.1 Roles and responsibilities of the AUC

Agenda 2063 overall governance and coordination for the process Policy setting/promoting mobilizing for implementation on African Mining Vision, integration of Africa, African common positions, African democratic governance, intra-Africa trade and African common market agenda, and so on

Advancing, promoting, and mobilizing for Africa's domestic resources mobilization agenda, and Africa's ownership and use of its resources

Leadership for continental and global negotiation for African ownership and demarginalization, including global and multilateral partnership approaches

Promoting an African data revolution, and the capacity of youth and women for Agenda 2063 Broad awareness creation and commitment building with African stakeholder groups in collaboration with RECs Dealing with peace and security, organized crime, human trafficking, financial crime (illicit financial flows), migration, and other emergency issues

Source: ACBF Capacity Team.

while *think tanks* should focus on research, data generation and collection, future analysis, and scenario planning. *Youth organizations* would be well placed to plan and implement Agenda 2063's programs, as would *women's organizations* for their constituents.

The *diaspora* can contribute to Agenda 2063's priorities and initiatives, particularly the flagship projects, and mobilize resources. Finally,

*African celebrities*, including sports and cultural personalities, will be excellent ambassadors for Africa's transformative vision.

#### **Enhancing implementation capacities**

To strengthen adoption, use, and coordination of key implementation capacities, the following approaches are proposed.

#### Figure 5.2 Roles and responsibilities of RECs

Promoting and harmonizing joint efforts to address trade, governance, socioeconomic development, movement and integration of goods and people, and other specific issue areas

Promoting and undertaking operational measures for mobilizing domestic resources for Agenda 2063 from within the region Exercising decentralized responsibilities for Agenda 2063, including the seven aspirations, flagship, and major programs

Promoting an arrangement to institute the key role of youth and women (including intergenerational dialogue), and other stakeholder groups, such as academia, the diaspora, and the private sector for a strong movement of transformation toward the Africa We Want vision

Promoting and developing initiatives and operational measures for a robust science, innovation, and technology agenda: including planning, harmonizing, and retaining critical technical skills

Promoting and mobilizing African mind-set change and transformative agenda

Implementing regional, continental, and cross-country programs and projects

Source: ACBF Capacity Team.

#### Figure 5.3 Roles and responsibilities of the NEPAD Agency

In the spirit of Agenda 2063, the NEPAD agency's role needs to be clarified

Systems to manage and monitor Africa's resources and to interpret what has been captured

Source: ACBF Capacity Team.

Possible area of focus: development planning, development knowledge, development intelligence, African development paradigm, and anticipating the future

Promoting, monitoring and evaluating, and providing strategic advice on development programs and major projects Mobilizing resources for Africa's development, including recapturing illicit financial flows

NEPAD could also have a role for research, knowledge, Africa data development, and promoting an African scientific and technological innovation agenda

#### Figure 5.4 Roles and responsibilities of the APRM and PAP

#### **APRM**

- Rename and realign the APRM
- Focus on political, corporate, and economic governance reviews and action plans
- Lead redesign of a peer review modality

#### ΡΔΡ

 Fully empowered as a legislative authority of the continental AU governance system to play an effective oversight role of the AU organs and institutions Set up, immediately, a central entity. This is to push forward arrangements and mobilization for urgent work to deliver on the first 10-year plan, including:

- Domesticating Agenda 2063: supporting and encouraging member states to prepare "national Agenda 2063 frameworks" to enhance domestication.
- Creating a movement for promoting Agenda 2063 awareness.
- Encouraging decentralization to promote inclusiveness of other stakeholders such as youth, women, and the private sector.
- Using existing universities and member states to task national institutions of higher learning with developing curricula on Pan-Africanism.
- Undertaking capacity assessment in selected countries across the continent's five regions.

Adopt a well-decentralized approach for implementing the first 10-year plan and Agenda 2063 overall. A decentralized plan for implementation should be articulated, discussed, and agreed on, reflecting the idea that substantive responsibilities on achieving the targets and aspirations need to be understood by and duly credited to African countries, RECs, and other regional organizations. This plan needs to build on the subsidiarity of regions and member states.

Involve key stakeholder groups. Beyond intergovernmental institutions, there is a need for identifying, negotiating with, "bringing on board," and crediting (including identifying incentives for) key stakeholder groups to lead actions. (These groups include the "other stakeholders" in the previous section.)

Identify program activities for the first 10-year plan. This will help progressively identify and mobilize key resources and capacities, using the newly adopted 10-year plan as the basis. It will also help centralize and coordinate capacities from actors to be involved in each target and priority area, and provide an opportunity for including or fleshing out other targets and priorities identified by region, country, or stakeholder group.

Increase capacity for greater ownership, by preparing "national Agenda 2063" documents. To help domesticate Agenda 2063, consideration should be given to urging interested countries to quickly prepare national Agenda 2063 documents. Such documents would capture both the provisions in the documents produced continentally, including parts of the first 10-year plan, and offer summary reflections of each country's own priorities. Some could be translated into local languages, boosting capacity for greater ownership and committed synchronization of priority initiatives. There is also a need to devise practical ways of obtaining finance to prevent the hijacking of original African ideas by other nations.

Promote a new belief, a mind-set transformation, and an African commitment and confidence to build a new tomorrow from today. The mind-set and ownership transformation, emphasized in the resolution of African heads of state during their recent summit in South Africa, is arguably the most important strategic dimension of Agenda 2063 (see box 2.1). Since this requires a proper ideological grounding of Africans through sensitization, commitment building/ indoctrination, and so on, brainstorming and content preparation should be initiated at once, drawing on stakeholder groups, key thought leaders, passionate Pan-Africanists, and African institutional establishments. The urgency of this for ensuring sustained

approaches, negotiating/fighting capacity, and tactical intelligence capacity cannot be overemphasized.

## Promoting mind-set change and transformative capacities

Table 5.2 further outlines some of the major actions needed

## Making youth a central capacity pillar

- Put in place arrangements for transferring responsibility for driving and planning aspects of Agenda 2063 related to youth.
- Harness the value and likely high returns of youth, and niche areas where the real potential is yet to be fully tapped for Africa's development culture, with the aim of "reloaded" youth development and empowerment.
- Use African youth channels to increase awareness to promote their ownership and commitment to the Agenda.
- Bring more youth into continental, regional, and national institutions.
- Promote capacity development and a learning culture that allows for greater application of indigenous knowledge forms, adaptation of foreign knowledge and its domestication, and diversification of knowledge and information sources to design youth leadership and empowerment programs.
- Promote access to and exchange of tools, knowledge, strategies, information, experiences, and new technology to increase and strengthen young people's involvement in

the economic, social, and political life of their countries.

- Design and implement youth employment and entrepreneurship programs along value chains in partnership with the private and public sectors in critical areas.
- Strengthen vocational, graduate, postgraduate, and on-the-job training to ensure that
  educational qualifications are best suited
  for meeting the market's needs and for
  strengthening African small and medium
  enterprises.
- Establish and strengthen platforms for tripartite social dialogue among labor unions, employers' bodies, and youth organizations.
- Enhance the capacities of African governments and AU organs and institutions to engage African youth in eight areas:
  - Accelerating Africa's transformation by increasing self-belief, self-confidence, and either rewriting the narrative or writing a new narrative for Pan-Africanism and African renaissance.
  - Strengthening Africa's knowledge and innovation economies through innovative cross- and multi-sectoral partnerships that help bridge skill gaps by creating knowledge networks.
  - Participating in AU organs, institutions, and decision-making processes.
  - Participating in regional integration, decision-making processes, and national strategy development.
  - Engaging in civic matters, including human rights and governance; rural development and governance; peacebuilding in

**Table 5.2 Mind-set and transformative change capacities** 

Agenda 2063 transformation drivers	Indicative actions				
Mind-set change African value systems	Develop and agree on an African value system and a Pan-African concept that transcends cultures, traditions, and physical boundaries				
Pan-African perspective	Inculcate these values throughout the African population, including schools, families, and work places				
African development paradigm	Inculcate these as part of the social system from birth (these values should not be an add on, but rather a fundamental aspect of every African's life)				
African solidarity liberation	Fast-track the people-to-people integration process (countries do not make for integration, people do)  Learn from the history of liberation struggles—share "what made it happen" and promote a culture of self-driven change				
Self-awareness and self-	Inculcate African values and history at all levels				
confidence	Embrace Africa's history and current challenges as a responsibility and task to work on, toward Agenda 2063 Build social networks and alliances to encourage unity, self-sacrifice, and solidarity among Africans				
Performance and evaluative culture	Encourage individuals to have a sense of duty and accountability toward the common good. The 2063 Agenda requires everyone to work $24/7/365$				
	Promote a culture of drive, purpose, and collaboration				
Ownership of African development agenda	Reduce the distance between the leadership and the people to engender hope, ownership, and relevance of the Agenda				
	Promote the Agenda with all people, and live by example. For instance, leadership cannot have two lives, one simple, and one elitist, just to get the vote				
	Reduce the Agenda 2063 messages to the simple language relating to what matters to people in their stakeholder groups. This includes using "calls to action" and promoting representative principles such as "driven, purposeful, and collaborative"				
	Ensure that the outreach makes everyone see their role in, and potential benefit from, Agenda 2063				
Accountable, competitive, and transformative leadership	Be futuristic and knowledgeable, not just of today or yesterday. Leaders needs to articulate not just their countries' vision, but that of the continent				
	Promote leadership that is competitive at all levels and all times (not just in winning elections). The continent's competitiveness will be derived from the sum competitiveness of its leaders.				
	Embrace the African value system of unity, sacrifice, and the interest of the common good, not just the nation state				
Responsive institutions	Establish institutions that are fit for purpose				
	Harmonize and rationalize institutions				
	Strengthen institutional mandates and modes of delivery and end the worship of individuals (as now)				
	Instill institutional values to sustain the Agenda, and not transitory individuals				
Ownership of the African brand and narrative	Develop an outreach program (either regional or country specific) for Agenda 2063, to reach all segments of society				
	Develop relevant messages that will be part of an outreach and communication program for Agenda 2063				
	Establish "development envoys" for Agenda 2063 to champion its core tenets (not just peace and security)				
	Encourage universities to undertake research and publications and to tell the African story to the African audience and the rest of the world (felt, seen, told, lived, and written by us). This can take the form of essay competitions, and so on				
Capable democratic	Put people at the center of development				
developmental states and institutions	Conceive development in terms of human development				
nstractons	Set and implement higher standards for human development than the Millennium Development Goals/ Sustainable Development Goals				
	Have the confidence to refuse aid that does not fit into the continent's priorities				
	Implement long-term high-impact programs that also create employment				
Use of African resources	Ascertain Africa's continental, regional, and national resources				
	Undertake an intergenerational map of the continent's human resources, their skills, and expertise, and determine how they can help implement Agenda 2063				
	Ascertain the number of Africans in the diaspora, resources at their disposal, and their skills and expertise, and determine how they can help with Agenda 2063				
	Use current financial resources prudently; avoid waste and "political" projects that benefit only a few				

Source: ACBF Capacity Team.

light of more youth radicalization and the politicization of faith; and urban development and governance in light of rapid and sometimes chaotic urbanization.

- Engaging in environmental justice, climate change, and natural resource management.
- Participating in the economy, including in self-employment and employment in infrastructure; financial services; trade and industry; ICT and other technology; and agriculture and agro-based industries.
- Participating in extractive industries, including in the beneficiation of raw materials and through local content policies (local procurement), that is, building capacities that help Africa transition from being a resource-rich, relatively poor continent.

## Making women a central capacity pillar

- Transform AU institutions over the next five years to reflect continental demographics, especially ensuring that women (and youth) lead key structures and processes within AU agencies.
- Commit member states in the next five years to adopt policies allowing and enabling women (and youth) to occupy key national leadership roles in business, government, and nongovernmental sectors.
- Adopt women's (and youths') representation and decision making in key continental, regional, and national institutions.
- Establish processes to increase the capacity of youth and women to lead and manage institutions and processes.

- Begin implementing Agenda 2063 with the aim to mainstream and integrate programs for women.
- Focus institutions on the agriculture sector
   —the full value chain from production
   to distribution—leveraging the skills,
   knowledge, and commitment of rural African women. (Through better livelihoods,
   women can access health care and provide their children with education and better nutrition.) This will require:
  - Pursuing programs to support and strengthen women farmers, entrepreneurs, and industrialists to leverage women's potential as leaders and innovators in agriculture.
  - Putting the leadership of Africa's green revolution in the hands of African rural women
- Engage women's capacities in STEM.

#### **Retaining and using capacity wisely**

- Develop national policies to enhance capacity use and retention.
- Create skill-based networks where professionals abroad can train and add to Africa's human capital.
- Perceive the diaspora as a potential asset to transfer best practices from the developed world.
- Design policies to decrease the restrictions created by dual citizenship on those who wish to return to the continent.
- Implement short-term skill transfer projects for members of the diaspora to come to Africa temporarily.

- Propose tax arrangements with host countries to remunerate the home country.
- Engage in international agreements with wealthy countries not to recruit skilled people from developing countries, including African nations.
- Track and monitor the situation of youth who should be entering the labor market annually.
- Create a new working pact involving academia and the private and public sectors to enhance employability by focusing training on meeting job market needs.

## **Enhancing capacity through technology and the data revolution**

- Share strategic intelligence on planning and development.
- Use and strengthen institutions of higher learning for policy and development planning to embed Agenda 2063 policies not only in politics but also in rigorous research.
- Promote a data revolution where Africa controls its own data collection, generation, and interpretation.
- Take advantage of the new technological conveners or influencers such as Facebook, Twitter, Instagram, YouTube, Google, and so on.
- Dedicate government departments to handle connectivity to accelerate the effort.
- Create an enabling environment for private investment in telecoms and for government oversight and clear policies on ICT, driving connectivity in Africa.

- Increase connections between tech players and policy makers, building support during the implementation phase.
- Cultivate youth's interest in STEM.
- Create innovation centers to pool funds and ideas, and to create technology and entrepreneurship communities.

## Instilling Pan-Africanism through Agenda 2063

- Create incentives, including through funding, for universities to incorporate Pan-Africanism and Agenda 2063 into academic curricula. Universities could incorporate Pan-Africanism into social studies courses, for example.
- Create a mechanism to formulate and articulate the content of Pan-African curricula, capturing the older generation's knowledge. Find social and other kinds of expressions that capture Pan-Africanism.
- Project Pan-Africanism in all its forms through society and institutions.

# Creating an African capacity movement for promoting Agenda 2063

- Regard Agenda 2063 almost as a transformative agenda rather than a development agenda.
- Instill greater ownership in the endeavor by identifying mobilizing themes that resonate with African stakeholder groups.
- Promote Agenda 2063 as "Agenda Now Now" to convey a sense of urgency.

 Mobilize Africans into a movement behind the new vision, keeping in mind the "Africa We Don't Want."

# Increasing Africa's capacities in future analysis and scenario planning

- Enable and encourage nonstate actors to contribute to scenario planning, especially encouraging centers of excellence to advance research and analysis.
- Continentally or regionally, allocate responsibility for future analysis and scenario planning to one institution.
- Institutionalize mechanisms for scenario planning to feed into policy making.
- Ensure that future analysis and scenario planning engage with a broad range of stakeholders, especially youth and women.

## Boosting critical, technical, and sector-specific skills

- Create an expertise pool on such skills, which are important for the flagship projects.
- Design and invest in massive advanced and vocational training in STEM and critical technical skills.
- Design approaches for engaging and using the skills of the diaspora.

#### Other broad recommendations

• Develop and implement refresher training for key staff of regional and continental organizations in the composite capacity areas of strategic planning, program development, finance and management, knowledge and risk management, and facilitation and coordination

- Develop and implement regional and continental training programs in soft skills
   (as defined in the earlier "Soft skills" subsection) for change and transformative leadership.
- Quickly develop continental strategies under AUC leadership for ensuring the 10 umbrella capacities (see "Umbrella capacities" above) that are needed for realizing Agenda 2063's vision.

## Additional considerations for deepening cross-cutting capacity

In addition to the capacity issues covered in this document, capacity in the following cross-cutting areas needs to be boosted:

- Future leadership and future management in the continent.
- Governance and management of Agenda 2063 implementation at all levels.
- Continental entrepreneurship.
- The private sector's role.
- Readiness to face risks and threats.
- Management of the external environment's impact on Agenda 2063.

The new African vision is buttressed with a new belief: Africa "can do," "must do," "will do," and "will be." This great continent is blessed with human and natural resources in a way that is second to none.

## **NOTES**

- 1. AU and NEPAD Agency (2012).
- 2. These flagship projects are listed in box 3.1.
- As read with the protocol establishing the African Economic Community, and the constitutive acts for RECs and other AU organs.
- 4. This topic is more fully developed in the just-cited document.
- 5. Illustrative education profiles of Africans abroad 2008–2012.

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The June 2015 AU Ministerial Retreat of the Executive Council and the Summit in Johannesburg, South Africa emphasized the importance of the capacity dimensions for delivering on Agenda 2063. The Executive Council proposed that the finalized capacity assessment work should highlight the critical skills needed and the role of universities in providing training.

The work on Capacity Dimensions for Agenda 2063 was initiated by the AUC, with close support of the ACBF, which has produced three documents:

- African Critical Technical Skills: Key Capacity Dimensions Needed for the First 10 Years of Agenda 2063.
- Capacity Requirements for the New African Vision: Agenda 2063—"The Africa We Want."
- Capacity Development Plan Framework: Buttressing Implementation of the First 10-Year Plan—"The Africa We Want."

The AUC's highlighting of the importance of capacity dimensions for Agenda 2063 has been one of the missing links in previous development efforts. This time, implementation will be from a proper understanding of the capacity requirements (as encapsulated in this document) and accompanied by a capacity development plan framework.

This report provides a conceptual and operational framing of imperatives and capacity issues required for delivering Agenda 2063. The findings are derived from high-level interviews and discussions with key organizations, initial consultations with other key stakeholders and knowledgeable individuals, and analytical work.



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